

Asgard archaea defense systems and their roles in the origin of immunity in eukaryotes

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Immune systems are integral to survival against viral infection. Recently, dozens of new anti-viral systems have been characterized in bacteria¹. Some of these systems are present in eukaryotes and appear to have originated in prokaryotes. However, little is known about these defense mechanisms in archaea. Here, we identified 2,610 complete defense systems in archaea related to eukaryotes, the Asgardarchaeota². These comprise 89 unique systems, including argonaute, NLR, mokosh, viperin, lassamu, and CBASS. Asgard viperin (asVip) and argonaute (asAgo) proteins are present at high frequencies compared to bacteria and have structural homology to eukaryotes. Phylogenetic analyses revealed asVips are ancestral eukaryotic proteins. Heterologous expression of asVips in bacteria, including the lineage closest to eukaryotes, Hodarchaeales, showed robust anti-phage activity. Eukaryotic- and bacterial-argonaute proteins appear to have originated in the Asgardarchaeota, and have ancient structural characteristics. AsAgos appear to have argonaute-PIWI domains which are key components of the RNA interference (RNAi) in eukaryotes. Characterization of hundreds of new defense systems in the Asgardarchaeota revealed these archaea played important roles in the innovation of viral protection in eukaryotes. Given their relationship to eukaryotes, these defense systems may have applications in biomedicine and biotechnology.

Organisms across the tree of life contain complex defense systems (DS) to battle viral infections^{3,4}. Over the past decade, dozens of new DS have been identified and characterized in bacteria, sparking a debate about a potential link between these systems and the origins of innate immune mechanisms in eukaryotes. More recently, protein components of bacterial NLR (Nucleotide-binding domain leucine-rich repeat), CBASS (Cyclic oligonucleotide-based antiphage signaling system), viperins (virus-inhibitory protein, endoplasmic reticulum-associated, interferon (IFN)-inducible), argonautes, and other DS have been shown to exhibit homology with proteins involved in the eukaryotic immune system⁵. Most of the research on prokaryotic defense systems has focused on bacteria, with archaea representing <3% of the genomes in these studies^{6,7,8}. Thus, very little is known about the diversity or evolution of these systems in archaea.

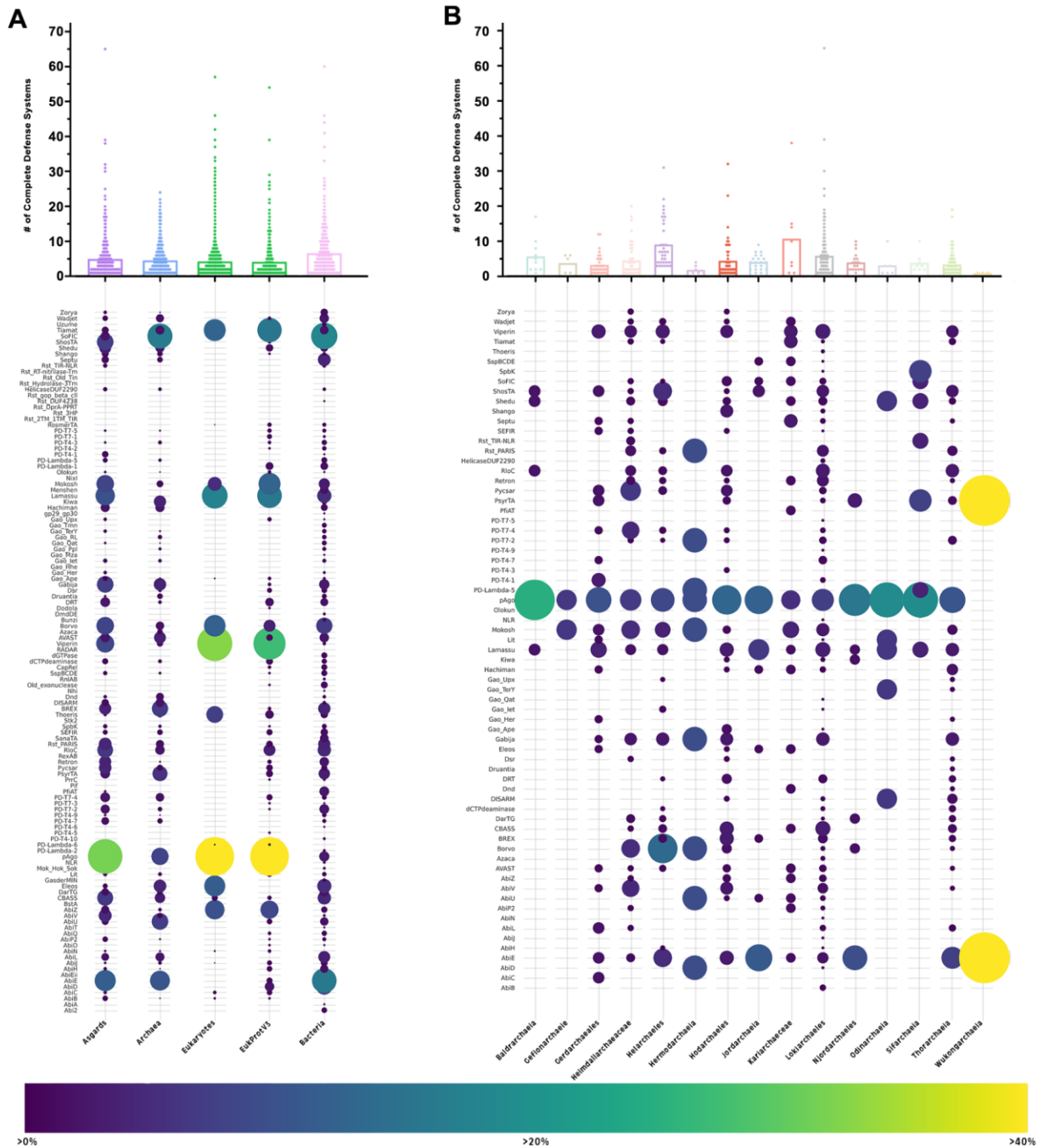
Recently, a diversity of novel genomes have been obtained belonging to archaea most closely related to eukaryotes commonly referred to as “Asgard” archaea, the phylum Asgardarchaeota². In addition to being sister lineages to eukaryotes, these archaea also contain an array of genes that are hallmarks of complex cellular life involved in signal processing,

transcription, translocations, among other processes⁹. The Asgard archaea are descendants of the ancestral host that gave rise to eukaryotic life. One newly described order, the Hodarchaeales (within the Heimdallarchaeia class), shared a common ancestor with eukaryotes². Here, we characterize defense systems in archaea and show that Asgard archaea have a broad array of these DS. We also show that Asgard contributed to the origins of innate immune mechanisms in eukaryotes.

To explore the diversity and distribution of DS in archaea, we used 132 previously described defense systems to search a comprehensive dataset containing 3,408 publicly available genomes, and a newly expanded set of Asgardarchaeota genomes (869). A total of 30,761 defense system-associated genes have been identified across these archaeal genomes, belonging to 11,466 complete defense systems, with an average of 4.55 DS genes per genome (Figure 1; Supplementary Data 1). Of a total of 132 previously described DS, 89 were found in the archaeal genomes. 27.5% of these archaeal genomes do not contain any known DS. A total of 2,610 complete DS were identified in Asgardarchaeota genomes. These varied among the classes between 1 and 65, with an average of 4.9 DS per genome. These numbers are similar to those reported in bacteria, on average 5.6 DS identified by Tesson *et al.*⁷ and 5.8 DS by Millman *et al.*⁸. The DPANN archaea contain the lowest number, with a mean of 2.6 DS per genome. As previously reported in bacteria, the most prevalent DS in archaea are the restriction-modification (RM) and CRISPR systems^{7,10}. These DSs represent 45% and 22% of all known defense systems in archaeal genomes, respectively.

Asgardarchaeota have a broad array of DS compared to those in bacteria. Some Asgard archaeal groups have a higher DS per genome ratio compared to other archaea (Baldrarchaeia 5.7), and others surpass bacteria (Helarchaeles 9.1; Kariarchaeaceae 10.7; Lokiarchaeles 5.8). Four out of the five representatives from the Heimdallarchaeia, including genomes from Hodarchaeales, have fewer DS (Njordarchaeles 4.0; Gerdarchaeales 3.3; Heimdallarchaeaceae 4.5; Hodarchaeles 4.4) (Figure 1B; Graph), suggesting they have yet uncharacterized DSs. Given that Heimdallarchaeia is the prokaryotic class most closely related to eukaryotes², it is possible that these organisms might utilize mechanisms more similar to those observed in unicellular eukaryotes that were not detected using a prokaryotic DS database.

Twenty DS are more frequently found in Asgardarchaeota genomes compared to other prokaryotes (AbiB, AbiP2, AbiV, Argonautes, Borvo, Cas, dCTPdeaminase, Gao Ape, Gao Her, Gao let, hachiman, pycsar, PD-T7-4, PD-T4-1, PD-T4-7 RloC, Rst helicase, Rst TIR-NLR, ShosTA, Shango, Shedu, viperins). Conversely, 12 DS are more abundant in archaea from groups outside of Asgardarchaeota (Figure 2B; Bubble plot). Viperins and argonautes account for 2.1% and 7.9% (respectively) of all DS identified in Asgardarchaeota genomes. Viperins and argonautes are found 9 and 4 times more frequently in Asgard genomes than in other archaeal groups. When compared to bacteria, the disparity is even more pronounced, with 29 and 11 times higher representation of viperins and argonautes in Asgardarchaeota, respectively. Argonautes are present in every Asgardarchaeota class.



Viperins were first described in eukaryotes as one of the key players in the mechanism of inhibition of human cytomegalovirus (HCMV) infection¹¹ and later found in prokaryotes⁴. Viperin proteins in archaea and bacteria have sequence and structural residues¹². These conserved structural residues are a strong indicator of function as a defense mechanism¹³. These conservations make it possible to reconstruct protein phylogenies, which indicate a prokaryotic origin and show Asgard archaea as being a sister group to eukaryotes, suggesting eukaryotic and Asgard viperins evolved from a common protein ancestor.

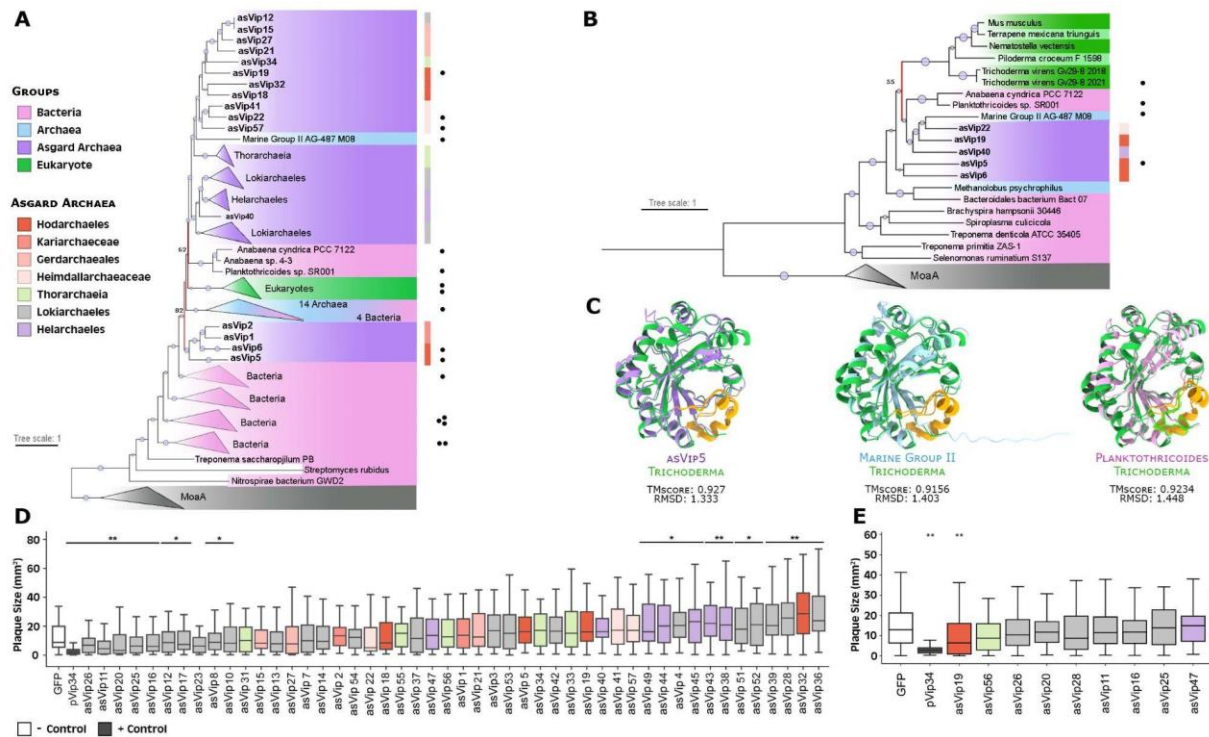


Figure 2. Evolutionary histories and anti-phage activity of Asgard viperins. A) Phylogenetic analysis of viperins. Viperins phylogeny revealed ancestral links of eVip with asVip (nodes marked in red), particularly those within the Heimdallarchaeia class (including Kariarchaeaceae (2), Heimdallarchaeaceae (3) and Hodarchaeales (5)). The size of the dots on the nodes is proportional to bootstrap values ranging between 60 and 100. Black dots on the right side of the tree denote the origin of the sequences used for structural modeling **B)** Structural phylogeny of selected viperins from Asgard archaea, archaea, bacteria, and eukaryotes. Consistent with the sequence homology-based phylogenetic tree, the eVip structure appears to have been inherited from asVip (red node). The darker green color represents reference sequences predicted experimentally. The size of the dots at the center of the nodes is proportional to bootstrap values ranging between 50 and 100. Colored dots on the right side of the tree indicate the structural models used in Figure 2C. **C)** Superposition of an eVip structure, predicted by X-ray diffraction (green), and the structural models of an asVip, archaeal viperin (arVip), and eVip (from left to right). The yellow color in the models emphasizes the high conservation of the viperin catalytic site across the tree of life. **D)** Anti-T7 phage activity of asVip in *E. coli*. Nine asVip (asVip 26,11,20,25,16,12,17,23,8) exhibited anti-viral activity as indicated by the p-values (* p < 0.05; ** p < 0.01). **E)** Anti-T7 phage activity of asVip after codon optimization for their expression in *E. coli*. One asVip from a Hodarchaeales organism provided protection against viral infection (asVip 19). The center line of each box plot denotes the median; the box contains the 25th to 75th percentiles. Black whiskers mark the 5th and 95th percentiles. pVip34 is a

prokaryotic viperin selected as a positive control from Bernheim *et al.*, 2021¹³. Each experimental condition includes, on average, 53 plaques pooled from three biological replicates.

Using an expanded archaeal genomic dataset our phylogenies revealed eukaryotic viperins (eVip) and Asgard viperins (asVip) are sister proteins and share a common ancestor (Figure 2A). Only four proteins outside the Asgard group (one archaeal and three bacterial) are present in this clade. The two bacterial species harboring these viperin sequences are cyanobacteria belonging to the genera *Anabaena* and *Planktothricoides*, known for their symbiotic relationships with eukaryotic organisms. *Anabaena* spp. are present throughout the entire lifecycle of plants from the *Azolla* genus¹⁴. Co-speciation and gene transfer have been reported in these host-symbiont interactions¹⁵, which could explain the position of these viperins near eukaryotes. The diversity of asVip basal to eukaryotes suggests that this immune mechanism in eukaryotes was derived from Asgardarchaeota.

Viperins proteins are structurally conserved across all domains of life (Figure 2B). As a member of the radical S-adenosylmethionine superfamily, the SAM domain is present in all viperins characterized by a partial ($\beta\alpha$)6-barrel folds located at the center of the protein¹⁶. The most conserved structure is the catalytic site cavity closer to the C-terminal extension. This site is present in asVips (yellow in Figure 2C), indicating that the 3'-deoxy-3',4'-didehydro (ddh) synthase activity has been preserved among all domains of life (Figure 2C). This provides evidence for its conserved function as a defense mechanism, since ddh is the primary component of this DS, acting as the chain terminator in viral DNA/RNA polymerase in eukaryotes¹⁷, and prokaryotes¹³, and potentially in Asgardarchaeota (Figure 2D and E; discuss below).

To ensure that asVips can protect cells against viral infections, we challenged asVip-expressing bacteria with T7 phage. Both bacterial and eVips have previously been demonstrated to inhibit this phage's infection¹³. We synthesized and constitutively expressed 48 Asgard viperins in *E. coli*, which were then submitted to testing (Supplementary Data 2). At first, 9 out of the 48 asVips (19%), all belonging to Lokiarchaeles group, displayed robust anti-T7 defense activity (Figure 2D).

In a second assay, *asVip* were redesigned with codon optimization for heterologous expression in *E. coli*. Remarkably, a viperin originating from a Hodarchaeles genome was then capable of safeguarding cells against T7 phage infection (Figure 2E). Asgard archaea are known for possessing ribosomal architecture akin to eukaryotes¹⁸. More recently, representatives from Hodarchaeles have been found to contain a homologue of the previously eukaryote-exclusive ribosomal protein L28e². The lack of viral protection observed in our initial assay could be indicative of the inefficiency of bacterial systems in expressing Asgard viperins from groups more closely related to eukaryotes, such as Hodarchaeales. This obstacle was overcome following codon optimization, which allowed the protein to be expressed more efficiently and demonstrate its effectiveness in protecting cells against viral infection. These analyses show that asVips are consistent with the defensive roles against viral infection observed for viperins previously described in both bacterial and eukaryotic contexts^{13,17}.

In eukaryotes, both microRNAs (miRNAs) and small interfering RNAs (siRNAs) serve as guides that target RNA transcripts for degradation by the RNA-induced silencing complex (RISC) as a form of post-transcriptional regulation and cellular defense¹⁹. The signature proteins of this complex are the argonautes²⁰. These proteins are members of the PIWI (P element-induced

wimpy testis) superfamily, distinguished by the presence of the homonyms domain²¹. Argonaute-PIWI proteins are key components of the RNA interference (RNAi) system in eukaryotes. Prokaryotic argonautes (pAgos) are also associated with defense against mobile genetic elements^{7,22,23,24}. pAgos are classified into long pAgos and short pAgos. Long pAgos domain organization is similar to that of eukaryotic argonautes (eAgos), comprising an N-terminal domain that serves as a wedge to separate the guide oligonucleotide from its target, a MID (middle) domain, and a PAZ (PIWI-Argonaute-Zwille) domain that together, anchors and stabilizes the guide molecule. Long pAgos also have a catalytic PIWI domain responsible for the cleavage of the target DNA/RNA^{25,26}. Short pAgos possess only the MID and an inactive PIWI domain²³.

Previously, the origin of eAgo have been proposed to be from euryarchaeal argonautes, and an ancient phylogenetic split between short and long types of these proteins was observed^{22,23,27}. Phylogenetic analysis of archaeal argonautes (arAgo) identified in this study initially supported the early bifurcation into short and long forms (Supplementary Figure 1). However, it also revealed that Asgardarchaeota argonautes (asAgo) are the precursor of eAgo (Figure 3A, red nodes; Supplementary Figure 1). Our phylogenetic analysis also reveals a deep-rooted clade of asAgo as the ancestral form of long bacterial and eukaryotes argonautes (Figure 3A). An early clade of asAgo diversified into a larger group that encompasses arAgo and more than 98% of eAgo (Figure 3A; dashed red node). Interestingly, we also found that each clade of long proteins across the phylogeny is rooted by asAgos. This topological arrangement lends strong support to the hypothesis that an early diversification of asAgo gave rise to the extensive array of argonaute proteins observed across the tree of life.

The predicted structure of argonautes revealed a clear conservation between asAgo and eAgo (Figure 3B; red nodes). Detailed examination of five asAgo most closely related to eAgo revealed that three of the proteins initially identified as long types are actually short Agos because they lack the PAZ domain (asAgo 2, 4, and 5). The remaining two (asAgo 6 and 7) possess only an isolated PIWI domain, a feature previously reported in approximately 1% of short Agos²⁷. Intriguingly, four of these five proteins (asAgo 2, 4, 6, 7) contain a region at the N-terminal portion that is homologous to the eukaryotic translation initiation factor 2 (eIF2). This protein is a member of the PIWI superfamily, and is seldom found in prokaryotes. eIF2 plays a critical role in delivering methionyl-tRNA to the 40S ribosomal subunit and subsequently binding to the 5' end of capped eukaryotic mRNAs in conjunction with other eIFs^{28,29}. The presence of this domain in an Asgard protein suggests a similar ribosomal architecture and eukaryotic-like translation processing in these archaea.

Only 20% of the asAgo proteins contain DED catalytic triads, previously thought to be essential for PIWI domains to execute their nuclease function³⁰. Of these asAgos (32 in total), 28% have the full tetrad DEDX of amino acids (X = D, H, N or K) (Figure 3; green and yellow) recently shown to be the set of residues necessary for maintaining PIWI activity³¹. These numbers are in accordance with the overall percentage of long pAgo predicted to be active. However, these findings rebut the initial assumption that the ancestral pAgo was an active nuclease³².

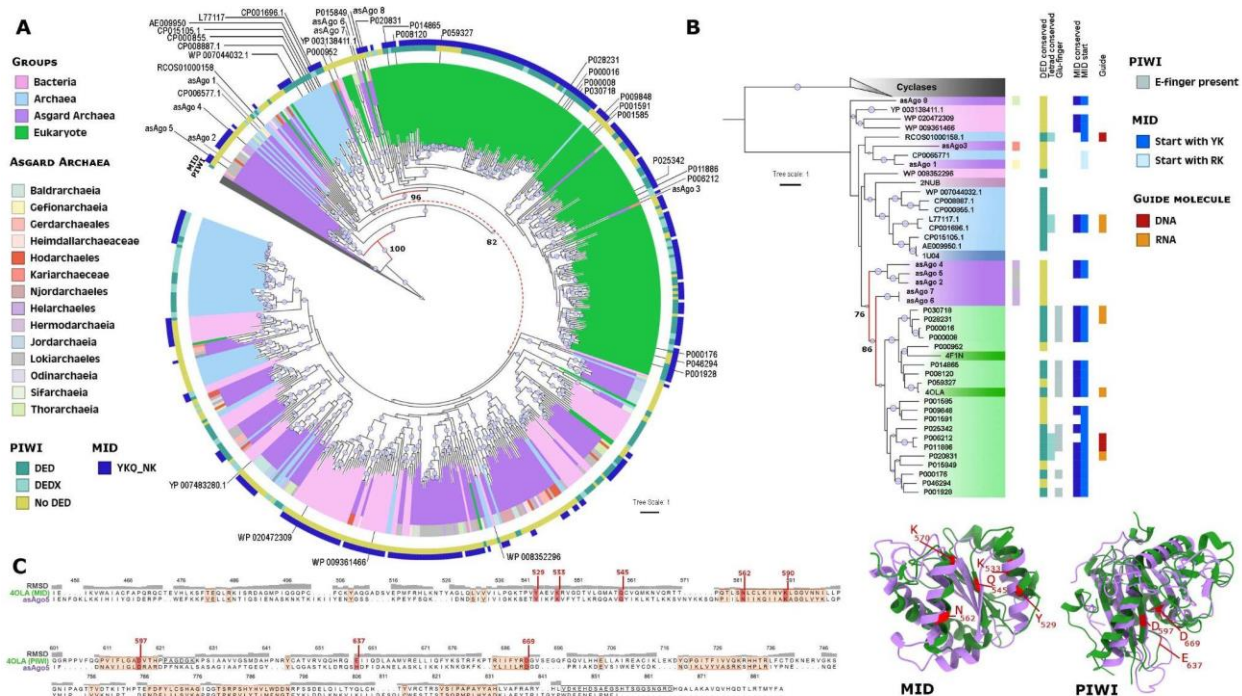


Figure 3. Evolutionary history of Asgard argonaute proteins. (A) Phylogeny of long type argonaute proteins from archaea, bacteria, and eukaryotes. (B) Structure-based phylogeny of argonautes. (C) Structural alignment of asAgo5 and 4OLA MID and PIWI domains (left), and the graphic model of the corresponding alignments (right). Salmon regions on the alignment highlight strong conservation (low RMSD values). Red amino acids in the structural alignment, and their respective models represent the 4OLA conserved functional residues in MID and PIWI.

Structural alignment between asAgo and eAgo reveals a high degree of conservation in the PIWI and MID protein domains, even among those asAgos lacking a conserved PIWI tetrad (Figure 3C). This suggests that ancestral asAgo enzymes contained all the components necessary for PIWI nuclease function, but lacked the catalytic site. This pre-existing conserved protein organization likely facilitated the perpetuation of this basic structure over time, paving the way for its evolution toward an active nuclease enzyme. Support for this hypothesis comes from the higher number of asAgos with a conserved MID domain binding pocket (YKQ-NK). Experimental evidence has shown that the presence of threonine as the first amino acid in the pocket is crucial for a stable binding of the guide nucleotide to argonautes. This is due to threonine's aromatic ring, which functions as a cap to the first base on the 5' end of the guide molecule²⁷.

The single-protein DS known as NLR, named after mammalian Nod-like receptors, serves as an intracellular sensor through its NACHT module, which is responsible for recognizing specific molecular signatures. Recent research suggests that eukaryotes acquired NLR via horizontal gene transfer from bacterial sequences³³. Our phylogenetic analysis revealed a correlation between the NLR proteins in archaea and eukaryotes (Supplementary Figure 2A), suggesting they share a common ancestor. Moreover, certain groups of eukaryotic NACHT proteins appear to have a shared ancestry with Asgardarchaeota proteins (Supplementary Figure 2A; red node). However, more comprehensive analyses and additional NLR-related proteins are required to enhance the resolution of this analysis.

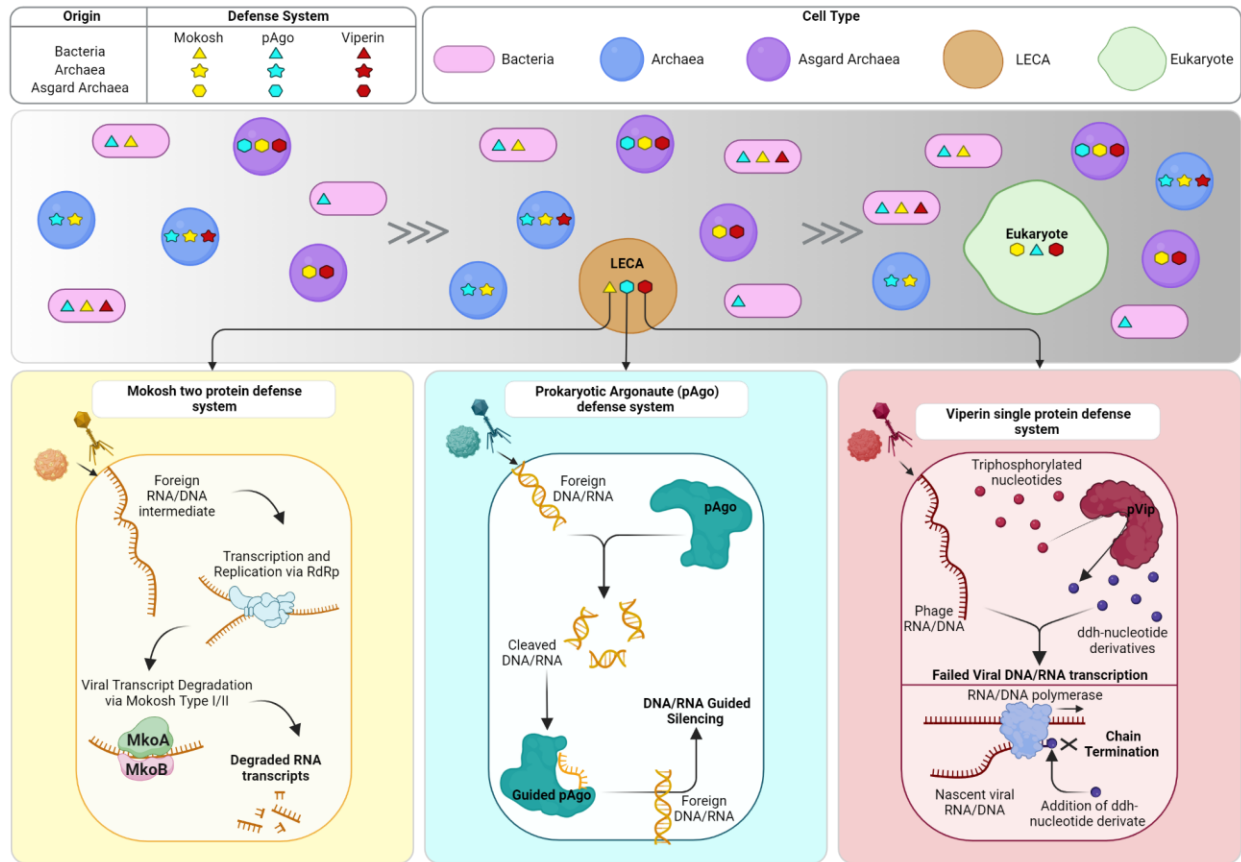


Figure 4. Model illustrating the contributions of archaea to the origins of eukaryotic defense mechanisms. The known mechanisms of mokosh, argonaute, and viperin systems are illustrated at the bottom. Based on our reconstructions of structures and phylogeny in the study, we propose that early forms of both the viperin and mokosh defense systems were inherited from Asgardarchaeota via the LECA (last eukaryotic common ancestor) into modern eukaryotes. However, other systems present in Asgard like mokosh appear to have originated from bacteria.

Another DS of interest is Mokosh, which degrades foreign viral transcripts to protect cells from infections⁸. Mokosh system proteins (MkoA and MkoB) have domain homology to the anti-transposon piRNA (PIWI-interacting RNA) pathway in eukaryotes⁵. Our phylogenetic analysis of MkoA suggests a bacterial origin of this protein. However, MkoA homologs associated with defense functions in eukaryotes are distributed in other regions of the phylogenetic tree. Thus further analyses are needed to elucidate the relationship between functional immune proteins and MkoA homologs (Supplementary Figure 2B). Thus, like much of the history of cellular complexity in eukaryotes, these findings suggest that eukaryotic DS were derived from both bacteria and archaea (Figure 4).

This study sheds light on the roles of archaea in the origin of innate immune systems in eukaryotes. Asgardarchaeota emerge as key players in the origins of viperins and argonautes, with ancestral forms of these proteins tracing back to the last eukaryotic common ancestor (LECA) and prior (Figure 4). We found remarkable conservation observed in the sequences, structure, and function of these DS proteins compared to their eukaryotic counterparts. Models

of eukaryogenesis involve the interaction between a bacterium and an archaeon, our findings reveal that early eukaryotes inherited robust defense mechanisms against viral infections from both ancestral partners (Figure 4). The compatibility of some of these proteins (asVips and eAgos) with those in eukaryotes suggests these systems may provide biomedical or biotechnology applications in eukaryotes.

Methods

Known Defense systems identification

A database of Archaeal genomes available from NCBI was built containing 646 genomes from the DPANN group, 668 genomes from TACK superphylum, 1408 genomes belonging to Euryarchaeota phylum, and 869 Asgard archaea genomes. This database only contains complete or high-quality genomes. To guarantee the quality of the genomes, a CheckM v1.2.1 screening was performed and only genomes presenting a completeness higher than 50%, and contamination lower than 10% were included. Another database containing 716 bacterial genomes from 71 different phyla was built following the same procedure. The distribution and diversity of known defense systems in archaeal genomes was checked running DefenseFinder v1.0.9⁷ with models v1.2.2. and default parameters on the custom databases.

Selecting homologues of archaeal defense system proteins in Eukaryotic genomes

A database of defense system proteins homologues found in Eukaryotes created by Cury *et al.*, 2022⁵ was used as a template to search for homologues of archaeal defense system associated proteins. A DIAMOND v2.0.13.151³⁴ database was created with Cury's dataset and 4 separated queries were prepared, one with proteins from each archaeal group (DPANN, Eury, TACK and Asgard) (Supplementary data 1). A pairwise alignment was performed with DIAMOND v2.0.13.151 using BLASTP with a cutoff of >30% identity and $<E^{-05}$ e-value. In addition, DefenseFinder was run on genomes from the EukProtV3 database³⁵ to search for additional homologues of prokaryotic defense systems in eukaryotes following the same parameters described in the section above.

Phylogenetic analyses

Two phylogenetic analyses were performed for the viperin sequences. The first one contained viperin protein sequences identified by DefenseFinder in the archaeal database together with their eukaryotic homologues found by Cury *et al.*, 2022⁵ (see previous section), and the viperins previously characterized and used on the phylogenetic analyses on Bernheim *et al.*, 2021¹³ work. After removing redundant sequences with seqkit v.2.3.0³⁶, a total of 1566 sequences were processed as described above to generate a dendrogram (model selected: LG+R10).

The second analysis is shown in Figure 3 and contains the viperin sequences identified in archaeal genomes by DefenseFinder and those used in Bernheim *et al.*, 2021¹³. The same procedures were performed to generate the dendrogram containing 324 unique sequences (model selected: LG+R6).

To analyze the homology relationship between argonaute sequences, we combined the Long pAgo and eAgo sequences used by Swarts *et al.*, 2014³² with COG1431_pAgo and pAgo_LongA sequences to identify sequences in our archaea database and the EukProtV3 database³⁵ with DefenseFinder. Identical sequences were removed using seqkit v.2.3.0³⁶, resulting in a total of 518 unique argonaute proteins. The sequences were aligned using MAFFT v7.457³⁷. Regions in the alignment containing >90% gaps were removed using ClipKIT 1.3.0³⁸. The tree was computed with IQTree v2.0.3 using 1000 bootstrapping replicates, and the best model generated (LG+F+R10) was selected according to the Bayesian information criterion (BIC)³⁹. The same alignment, gap removal, and dendrogram generation procedures were used for all the protein sequence phylogenetic analyses in this section.

Structural homology analyses

To analyze the homology between proteins used as defense mechanisms in prokaryotes and eukaryotes, protein sequences associated with defense systems were submitted to structural modeling through ColabFold v1.5.2⁴⁰. Multi-sequence alignments were performed using the mmseq2 mode, and AlphaFold2_ptm model. Three recycling steps were used to optimize the computational power and running time.

The predicted protein models were combined with reference structures of the respective proteins acquired from RCSB Protein Data Bank (RCSB). A multi-structural alignment (MSTA) of these structures was performed using the default parameters of mTM-align tool⁴¹ to build a dendrogram using IQTree v2.0.3.

The pairwise RMSD matrix obtained from mTM-aligns (supplementary data 3) was used to select the proteins suitable for 3D reconstruction of their alignment using the Needleman-Wunsch algorithm and BLOSUM-62 matrix on ChimeraX software⁴².

Bacterial growth and phage propagation

E. coli strains (MG1655, Keio Δ scr27, DH5 α) were grown in LB or LB agar at 37°C. Whenever applicable, media were supplemented with chloramphenicol (25 μ g mL⁻¹) and/or kanamycin (50 μ g mL⁻¹) to maintain the plasmids. T7 phage was propagated on *E. coli* BL21s using the plate lysate method. Lysate titre was determined using the small drop plaque assay method as previously described⁴³.

Plasmid construction

All primers were purchased from IDT. asVip genes were synthesized by Twist Biosciences, and codon optimized where indicated. Genes were sub-cloned into an inducible expression vector using Golden Gate assembly. All plasmids were propagated in *E. coli* DH5 α and then purified and transformed into the Keio Δ scr27 strain to upregulate iron-sulfur cluster production, as described previously^{13,44}.

Plaque assays

Plaque assays were performed according to standard protocols⁴³. Overnight cultures were diluted 1:100 in LB supplemented with 1.25 mM MgCl₂, 1.25 mM CaCl₂ and Isopropyl β -D-1-thiogalactopyranoside (IPTG; final concentration of 0.5 mM) for induction of asVip expression and grown to an OD₆₀₀ ~ 0.3. Bacteria from these outgrowth cultures were combined with serial

dilutions of phage lysate and incubated at 37°C for 15 minutes before being mixed with 0.5% agar and plated on agar plates. After solidifying, the plates were incubated overnight at 37°C. Plaques were imaged using an Azure Biosystems 600 imaging system, and their areas were measured using Fiji's 'Analyze Particles' plugin⁴⁵. Three biological replicates were pooled for analysis. A two-tailed t-test was used to calculate statistical significance.

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Contributions

P.L. and B.J.B. designed the study.

B.J.B. and I.J.F. supervised and provided infrastructure to execute this study.

K.A. and V.D.A. performed metagenomic data assemblage and binning, providing MAGs for the study.

P.L., E.A.P., K.C., and V.D.A built and curated the datasets used in this study.

M.E.L., D.S., and I.J.F. were responsible for the design and execution of viperins experimental analyses.

P.L., E.A.P. and K.C performed phylogenetic analyses.

P.L. executed the protein structural homology analyses.

P.L., M.E.L, and B.J.B wrote the manuscript.

All authors contributed to the final version of the manuscript and approved it before submission.

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